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|--------------------------------|---|--|
| Customer No.                   | 026418  | DT14 Rec'd PCT/PTO 10/501020 09 JUL 2004 |
| Attorney's Docket No.:         | GK-ZEI-3234 / 500343.20251  |  |
| U.S. Application No.:          |   |  |
| International Application No.: | PCT/EP03/00129  |  |
| International Filing Date:     | JANUARY 09, 2003  | 09 JANUARY 2003                          |
| Priority Date Claimed:         | JANUARY 10, 2002  | 10 JANUARY 2002                          |
| Title of Invention:            | Walter WROBEL, Ingo KOSCHMIEDER and Karl-Heinz DONNERHACKE        |  |
| Applicant(s) for (DO/EO/US):   | ARRANGEMENT AND METHOD FOR ILLUMINATING THE LENS OF THE HUMAN EYE |  |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ 5. A copy of **Publication No. WO 03/057023 17JUL03** the International Application as filed [35 U.S.C. 371(c)(2)]
- a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ has been transmitted by the international Bureau
- c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ 6. A translation of **Publication No. WO 03/057023 17JUL03** the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ have been transmitted by the International Bureau
- c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An **UNSIGNED** Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **EXECUTED Decl/POA - TO FOLLOW**
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. Below concern other document(s) or information included:

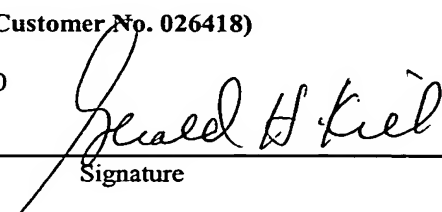
- ☒ 11. **An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98**
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. ☒ **A FIRST** preliminary amendment  
☐ **A SECOND** or **SUBSEQUENT** preliminary amendment
- ☒ 14. **A substitute specification with abstract (attached to the preliminary amendment)**
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **Search Reports: PCT/ISA/220 and 210 11DEC02 16MAY06 and German Examination Report 12DEC02 PTO-1449 w/14 References incl. 5 English Abstracts**

EXPRESS MAIL No.: **EV 302 914 185 US**

Deposited: **July 9, 2004**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
/Ruth Montalvo Date: **July 9, 2004**

|   |   |                 |     |  |             | CALCULATIONS                | PTO USE ONLY |
|---|---|-----------------|-----|--|-------------|-----------------------------|--------------|
| <b>[X] 17. The following fees are submitted:</b>  |   |                 |     |  |             |                             |              |
| <b>BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]</b>  |   |                 |     |  |             |                             |              |
| <input checked="" type="checkbox"/>   | Search Report has been prepared by the EPO or JPO   |                 |     |  | \$ 920.00   |                             |              |
| <input type="checkbox"/>  | International preliminary examination fee paid to USPTO [37 CFR 1.482]  |                 |     |  | \$ 730.00   |                             |              |
| <input type="checkbox"/>  | No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)] |                 |     |  | \$ 770.00   |                             |              |
| <input type="checkbox"/>  | Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO          |                 |     |  | \$ 1,080.00 |                             |              |
| <input type="checkbox"/>  | International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)       |                 |     |  | \$ 100.00   |                             |              |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT:</b>  |   |                 |     |  |             | <b>\$920.00</b>             |              |
| Claims  |   | Number<br>Filed |     | Number<br>Extra  | Rate        |                             |              |
| Total Claims (Prel.Amt)   |   | 25              | -20 | 5  | x \$ 18. =  | <b>\$ 90.00</b>             |              |
| Indep. Claims   |   | 2               | -03 |  | x \$ 86. =  |                             |              |
| <input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)  |   |                 |     |  | + \$ 290. = |                             |              |
| <b>TOTAL OF ABOVE CALCULATIONS:</b>   |   |                 |     |  |             | <b>\$1,010.00</b>           |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]  |   |                 |     |  |             |                             |              |
| <b>TOTAL OF ABOVE CALCULATIONS:</b>   |   |                 |     |  |             | <b>\$1,010.00</b>           |              |
| Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity  |   |                 |     |  |             |                             |              |
| <b>SUBTOTAL:</b>  |   |                 |     |  |             | <b>\$1,010.00</b>           |              |
| Processing fee of \$130.00 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]   |   |                 |     |  |             |                             |              |
| <b>TOTAL NATIONAL FEE:</b>  |   |                 |     |  |             | <b>\$1,010.00</b>           |              |
| Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property+  |   |                 |     |  |             |                             |              |
| <b>TOTAL FEE(S):</b>  |   |                 |     |  |             | <b>\$1,010.00</b>           |              |
| AMOUNTS TO BE REFUNDED OR CHARGED   |   |                 |     |  |             | <b>REFUNDED<br/>CHARGED</b> | \$<br>\$     |
| (Please note the filing fee is based on the claims in the Preliminary Amendment)  |   |                 |     |  |             |                             |              |
| <b>[X]</b> Check in the amount of \$ 1,010.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.) |   |                 |     |  |             |                             |              |
| <b>NOTE:</b> Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.                                    |   |                 |     |  |             |                             |              |
| <b>SEND ALL CORRESPONDENCE TO:</b>  |   |                 |     |  |             |                             |              |
| <b>Gerald H. Kiel, Esq.</b><br>Reed Smith LLP (Customer No. 026418)<br>599 Lexington Avenue<br>New York, NY 10022-7650  |   |                 |     |  |             |                             |              |
| <b>Gerald H. Kiel</b><br>Name   |   |                 |     | <br>Signature |             | 25,116<br>Reg. No.          |              |
| (Tel. (212) 521-5400)   |   |                 |     |  |             | July 9, 2004<br>Date        |              |